

## Preliminary Exam in Analysis, January 2023

**Problem 1.** Let  $\{f_n\}$  be a sequence of  $C^\infty$  functions on a compact interval  $I$  such that for each  $k \geq 0$  there exists  $M_k$  such that

$$|f_n^{(k)}(x)| \leq M_k \quad \text{for all } n \text{ and } x \in I.$$

Prove that there exists a subsequence converging uniformly, together with the derivatives of all orders, to a  $C^\infty$  function.

**Hint:** A function  $f$  is  $C^\infty$  means that  $f \in C^k$  for all  $k$ . You may consider using a diagonalization argument.

**Problem 2.** Compute the surface integral

$$I = \iint_{\Sigma} \frac{x \, dydz + y \, dzdx + z \, dxdy}{(x^2 + y^2 + z^2)^{3/2}}$$

for each of the following cases:

- (1)  $\Sigma = \{(x, y, z) : x^2 + y^2 + z^2 = t^2\}$ ;
- (2)  $\Sigma = \partial V$  where  $V$  is a bounded smooth closed region that does not include the origin;
- (3)  $\Sigma = \partial V$  where  $V$  is a bounded smooth closed region that contains the origin.

**Problem 3.** Assume  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is a continuous function. Given that for all  $x, y \in \mathbb{R}^n$  we have

$$f\left(\frac{x+y}{2}\right) \leq \frac{1}{2}f(x) + \frac{1}{2}f(y),$$

show that actually for any  $\lambda \in [0, 1]$ , and any  $x, y \in \mathbb{R}^n$  we have

$$f(\lambda x + (1 - \lambda)y) \leq \lambda f(x) + (1 - \lambda)f(y).$$

*You don't need to use any convexity properties, but if you do: you must prove all of them. You can not assume that  $f$  is differentiable.*

**Hint:** The result is obviously true for  $\lambda = 1/2$ . You may try proving it for  $\lambda = 1/4$  and  $3/4$ , and from there to try to find a pattern.

**Problem 4.**

- (a) Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a continuous map. Assume that  $U \subset \mathbb{R}^n$  is connected. Show that  $f(U) \subset \mathbb{R}^m$  is connected.
- (b) Show that there is *no*  $f : \mathbb{R}^2 \rightarrow \mathbb{R}$  such that
  - $f$  is continuous
  - $f$  is injective
  - for any open set  $U \subset \mathbb{R}^2$  we have  $f(U)$  is open

**Problem 5.** Let  $M_{n \times n}$  denote the vector space of  $n \times n$  real matrices. Prove that there are neighborhoods  $U$  and  $V$  of the identity matrix  $I_n$  such that for every  $A \in U$  there is a unique  $X \in V$  such that  $X^4 = A$ , where here  $X^4$  is a matrix power.

**Hint:** Implicit or inverse function theorem.

**Problem 6.** Let  $F = (F_1, \dots, F_n) : \mathbb{R}^n \mapsto \mathbb{R}^n$  be a differentiable mapping satisfying  $F(0) = 0$ . Suppose that

$$\sum_{i,j=1}^n \left| \frac{\partial F_i}{\partial x_j}(0) \right|^2 = c < 1.$$

Prove that there is a ball  $B$  in  $\mathbb{R}^n$  centered at 0 such that

$$f(B) \subset B.$$

**Hint:** Note that here  $F$  may NOT be  $C^1$ , and hence no implicit/inverse function theorem. Try using differentiability.